### **GENERAL INFORMATION**

PETUS description of tool in use		
Name of the case	ÖKOSTADT 2000 Evaluation	
Name of the tool	ÖKOSTADT 2000	
Country	Austria	
City / region	Styria	
Total area (km2)	16.388	
Population	1.183.246	
Density (people/km2)	72	
Tool user's profile		
a. Organisation name (municipality, NGO, national or regional department, company, etc.)	Municipality of the City of Graz	
b. Field of activity	Environmental activities in the city of Graz	
c. Detailed contact/feedback (project website, e-	Peter Gspaltl	
mail, address, tel., fax)	Kaiserfeldgasse 1/IV	
, , , ,	A- 8010 Graz	
	Tel.: +43 316 872 4303	
	Fax: +43 316 872 4309	
	Email: agenda21@stadt.graz.at	
	http://www.graz.at/umwelt_gesundheit	
Reviewer, date	Ingrid Kaltenegger, August 2003	

### Short description of the case

The Interuniversitäres Forschungszentrum für Technik Arbeit und Kultur (IFZ) / interuniversity research centre for technology, labour and culture was commissioned in June 1990 with elaborating an "environmental programme" for the provincial capital of Graz in collaboration with the Department of Environmental Protection. The mainstay for creating and implementing this environmental programme "Ökostadt 2000" is the involvement of all interested citizens of Graz. All inhabitants of Graz were called upon in a special issue of a local newspaper (November 1990) and at various public talks to put forward their suggestions and environmental ideas. This environmental programme "Ökostadt 2000" provides the city of Graz with an integrated concept taking into account the wide range of aspects of various subjects, problems and basic conditions with environmental relevance in local environmental policy. The environmental programme was completed in 1992 and presented to the clubs of the local council. Since then it has been a constant working aid for the department of environmental protection with all the department's action geared towards this programme. It contains more than one thousand pages of situation analyses and environmental policy guidelines for Graz on its way towards sustainable city development up to the turn of the millennium. Proposals as to measures to be taken for concrete action were combined to form nine action programmes divided according to the most important subject areas of environmental relevance - also beyond the area of responsibility of the department of environmental protection.

### **Programmes of action**

- Environmental protection in private households
- Environmental protection in public facilities
- Corporate environmental protection
- Ecological agriculture
- Water and bodies of water, development of natural landscapes and green spaces
- Historical burdens
- Traffic and environment
- Noise reduction and avoidance
- Protection of the earth's atmosphere

With the "Ökostadt 2000" environmental programme as a "local agenda" of the city of Graz, the aim is to achieve a new quality of environmental protection policy at the local level. The classical notion of environmental policy in terms of safeguarding or restoring a quality of environmental elements soil, air and water as required for human health is to be extended to form a **precautionary environmental policy**.





In 1996 Graz was awarded the European Sustainable City Award for the program "Ecocity 2000"

This case was chosen as a case study because this project shows how a decision and review process can be done over a period of 15 years with regularly evaluation.

Sector	Waste	Energy	Water	Trans	port	Green/I	blue	Buildin g & Land Use
								X
Scale of project	Component	Building	Neighbou	irhood	C	City	R	egion
						X		
Status of project	Starting up	Ongoing	Finished St		Star	art date En		d date
							(6	exp.)
		Х			1999	9		

## **Key words**

Sustainability, holistic level, city, NGOs, city level program

## **Project**

- a. Object (building, city park, wind farm, etc.)
- b. Type of activity (regeneration, renovation, new development, etc.)
- a. Program for a sustainable city development
- b. Evaluation of the whole program for the Ecocity 2000 after the first 4 years to see if the goals and the way to reach them are still the same. So far, after the first evaluation there were only slight changes.



- c. Type of product (plan, scheme, design project, etc.)
- c. The output of the planning process was an integrated concept taking into account the wide range of aspects of various subjects, problems and basic conditions with environmental relevance in local environmental policy.

## Tool

- a. Character (according to WP3final0704.doc)
- a) assessment tool
- b. Benchmarks (qualitative or quantitative)
- b. qualitative and quantitative

c. Availability (paid/ free)

c) The tool was developed by the environmental department of the city of Graz and therefore this department should be asked for further information. The final report can be

	downloaded for free from: http://www.graz.at/cms/dokumente/10022468/943e20a4/EU-E2.PDF
Decision-making process	
a. Stage of the tool implementation (preliminary, midterm, etc.)	a. midterm
b. Level (political, technical, etc.)	b. The user of the tool are more technically oriented persons with assistance (organised in form of working groups) of political, NGOs, academic, etc. groups
c. Public participation	c. The public was indirectly involved by integrating NGOs in the process but private persons were not directly included.
Other (optional, if needed)	

# **DETAILED INFORMATION**

	iption of project and tool
1. Description of context (existing strategies, laws,	The Issue related program "ÖKOSTADT 2000 (Ecocity
policy, action plans, etc.): EU, national, regional,	2000) is the deployment of Agenda 21, the central
municipal	document of the UNCED conference in Rio de Janeiro in
	1992, I Graz. This program sees itself as a comprehensive
	action plan for the transition into the 21st century.
2. Description of project  a. Background (What caused the initiation of the project?; What was the problem? Who initiated the project?);  The project of th	a. The idea of the issue related program consists of achieving a new quality in municipal environmental policy. The classical concepts of environmental policy are to be extended. The objectives which are sometimes considered difficult to achieve cannot be reached by creating unobtainable conditions, e.g. prescribing emission limits or banning certain substances. What is necessary is to develop creative processes on a local scale to ensure that progress towards targets is made. These processes are to promote activities strengthening the municipal eco-system, to help quickly identify processes upsetting this eco-system and to eliminate these processes wherever possible. Both decision-makers in the Municipal Authorities and the citizens of the city are to be involved into these endeavours. The project was initiated by the municipality of Graz.
b. Objectives/aims (sustainability statement – what issues of sustainability were attacked);	b. The objectives of the program are efficiency in use of water and energy, to use renewable resources if possible, to minimise emissions but also to include concerns of human health and the observance of environmental standards and preserving animal and plant life in all its diversity of species – and to assess all these objectives by evaluation the program regularly.
	One of the vehicles to promote the objectives of the program was to set up different project. One of them is ÖKOPROFIT. By awarding the Company Title "ÖKOPROFIT Company of Graz", the environmental performance of successful ÖKOPROFIT Companies is honoured, and the ecological competition in Graz is promoted.

c. Time interval and stages of project realisation; c. The project was started in 1999 with an update on progress planned to take place in 2004. d. Financing - amount, sources, institutions d. The "Eco-Team of Graz" which evaluated the program is headed by the Department of Environmental Protection of involved, partnerships, levels. the City of Graz and is made up of one representative of the Technical University of Graz, the "Karl-Franzens Universität Graz" (Graz University) as well as the Environmental Protection Coordinator of the City of Graz and the Environmental Protection Representative of Graz, the Head of the Department of Health, the Head of the Department of City Development and City Preservation, one representative of the "Wirtschaftshof" Environmental Attorney of the Province of Styria, the Environmental Coordinator of the Province of Styria, the Head of the Specialised Department of the Styrian Provincial Government and one representative of each of the environmental organisations of Graz. The department of Environmental Protection of the City of Graz, the municipality of Graz was financing the program. e. Other sectors involved in the particular e. Health, transport, city development project/problem (conflicts and/or links) 3. Description of tool a. Character (according to WP3final0704.doc) a. assessment method calculation tools, process tools, assessment methods, generic tools, simulation tools, guidelines, framework tools, schemes, indicators and monitoring, checklists, case-specific tools; b. Availability of the tool (web-based / paper, paid / b. The tool is described in the final report of the first free, etc.) evaluation in the year 1999 and can be obtained at the environmental department of the City of Graz c. Mix of existing tools and a new way of using them c. Based on existing tool or newly elaborated; d. Adaptation of the tool to the local context (are d. Incorporation of Agenda 21 adapted to Graz needs, a lot there local experts involved in tool's of local experts were involved. development?) e. Other tools implemented to support the project e. No development **B.** Tool implementation 1. Argumentation for choosing the tool a. What were the reasons for the implementation of a. The implementation of Agenda 21 was a background the tool? (voluntary or requested by what local, reason but a tool was also needed to assess the actions national, etc regulation) taken to strive towards the aims set out in Agenda 21. b. Who took the initiative for choosing /elaboration b. The environmental department of the city of Graz. the tool? c. What were the criteria for choosing the tool? c. The main criteria were the use of a standardised procedure and to also involve the public. d. Was there knowledge of other tools and were d. Other tools used in other cities were reviewed before,

they considered?	some of them adapted, new ones invented and all of them put together to create this new tool.
2. Barriers for the tool implementation What were the main problems in the tool implementation? (Regulation, information available, public awareness, lack of clear SD definitions and benchmarks, communication etc.)	There were no major problems in acceptance and the implementation.
	on the decision-making process
1. Description of the decision-making process/ procedures	
a. Stages	a. Preliminary stage: gathering information
	Screening: information to the local government and the public
	Then: gathering data and putting it all together
b. Levels (political, technical, etc.)	b. Implementation is more or less technically oriented
c. Sources of information used during the dmp;	c. Sector specific information (air, water, soil, noise, energy and climate, traffic, waste, natural and cultivated green space)
d. Who are the decision-makers?	d. The technicians took the leading role and primary decisions, the citizens and politicians were involved in the evaluation process
e. Who made the final decision for the project implementation? Was it political or technical decision?	e. The technicians took the lead
2. Tool in decision-making process a. At what stage was the tool implemented? By whom? (experts, politicians, etc.)	a. The tool was used right from the beginning of the planning level by planners and technicians .
b. How did the tool output influence the process (added or skipped levels/stages in the existing decision-making process, etc.)?	b. Almost all relevant groups and people were involved and had the possibility to contribute their experiences and their special knowledge which also influenced decision making
c. Quantitative goals or benchmarks defined? (If YES, which – and what were they compared to?)	c) Qualitative and quantitative benchmarks were used (e.g.: benchmarks set by the tool were the reduction in CO <sup>2</sup> emissions by -20%, and for a 25% share of regenerative energy source, etc.), they were compared to the data of 1994
	Reduction of CO <sup>2</sup> emission by thermal insulation

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	Thermography measurements on municipality buildings to show where to place thermal insulation
	d. Yes, showing the benchmarks and the ways how they were reached supported the different projects
d. Was the tool used to support argumentations?	
3. Transparency of decision-making process  a. How was the information of the dmp disseminated? - directly (decision makers – public) or indirectly (decision makers - NGO, PR company, etc public); sources of dissemination used (mass media, internet, brochure, etc.)	a. The information was disseminated through NGOs to the public. The mass media, press and internet were used for dissemination
b. How was the public involved?	b. Public was involved by addressing them directly, but only few citizens really wanted to contribute to the process. So Indirectly the public was contributing by "using" NGOs as their intermediary.
c. Was there a public discussion over the project and at what stage of the project development?	c. No
	is/comment of the tool effectiveness
1. Assessment by tool users <ul> <li>a. Were there measurable improvements as a result of the tool implementation? If YES, what? If no: why not?</li> </ul>	a. The environmental situation changed a lot because of the program, as did the connections between the NGOs, technicians, academics and the municipal authorities.
b. Were there any spin-off's or unintended consequences?	b. No
c. General view on the tool? Lessons learned?	c. It was very useful to know that the process will be reviewed every 3-4 years which means that effort had to be made to improve every evaluation.
d. Potentials for further use of the tool?	d. Partly due to the process described here Graz was the first city which was awarded the "European Sustainable City Award" in 1996 so it can be a role model for other cities
e. Will the actors recommend it or use it in other cases - why / why not?	e. Yes. The invitation to take part in the evaluation process relating to the Eco-City 2000 in connection with Local Agenda 21 was seen as an opportunity to bring in all the experiences (not only the technical ones) and specialised findings from NGOs, academics, etc. into the evaluation process. The municipal authorities, for their part, have not only acted as a role model by taking the unusual decision to have their works evaluated "from outside". They can also be praised for having initiated a example of change (statement of the NGO Representative).
2. Reviewer's assessment of the tool (usefulness, sustainability relevance, who are the actors excluded? etc.) Suggestions and needs for further development of the tool	The tool was very useful in the process and still is because the evaluations are still going on. One recommendation would be to include the public directly and not only indirectly via NGOs.
E. Additional informati	on on the case study available
Websites	http://www.graz.at/cms/dokumente/10022468/2ade5f6b/eval1_97.pdf

References concerning the case but also the key words or problem (papers, articles, reports, laws, etc.)	
Other sources (Interviews, conferences,	
discussions, etc.)	
Contact details for further information	Peter Gspaltl
	Kaiserfeldgasse 1/IV
	A- 8010 Graz
	Tel.: +43 316 872 4303
	Fax: +43 316 872 4309
	Email: agenda21@stadt.graz.at
	http://www.graz.at/umwelt_gesundheit